

*IFW Receipt*



600 SOUTH AVENUE WEST • WESTFIELD, NEW JERSEY 07090  
908.654.5000 • FAX 908.654.7866 • WWW.LDLKM.COM

PATENTS, TRADEMARKS, COPYRIGHTS & UNFAIR COMPETITION

September 29, 2006

Commissioner For Patents  
Office of Initial Patent Examination  
Customer Service Center  
P.O. Box 1450  
Alexandria, VA 22313-1450

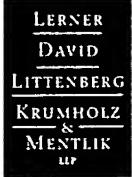
Re: Our File: REGIM 3.3-083  
Appln. No. 10/569,316  
Filing Date: February 22, 2006  
Group Art Unit: 1614

Dear Sir:

We are enclosing herewith copies of the filing receipt and Application Data Sheet for the above-identified patent application.

Please note that the enclosed filing receipt contains several errors, as follows:

- In the "*Applicant(s)*" section, the residence for the second-named Applicant, Gregory Lambert, should read --Chatenay Malabry, FRANCE--, not "Verrieres Le Buisson."
- In the "*Applicant(s)*" section, the residence for the third-named Applicant, Alain Razafindratsita, should read --Chevilly La Rue--, not "Larue."
- In the "*Applicant(s)*" section, the residence for the fourth-named Applicant, Simon Benita, should read --Mevasseret Sion, ISRAEL--, not "Mevasseret Zion, FRANCE."
- In the "*Applicant(s)*" section, please DELETE the fifth- and sixth-named Applicants, Shicheng Yang and Neslihan Gursoy.
- In the section entitled "*Assignment for Published Patent Application*," please ADD the second Assignee to read --**Yissum Research Development Company of the Hebrew University of Jerusalem, Jerusalem, ISRAEL**--.



Commissioner For Patents  
Office of Initial Patent Examination  
U.S. Appln. No. 10/569,316  
September 29, 2006  
Page 2

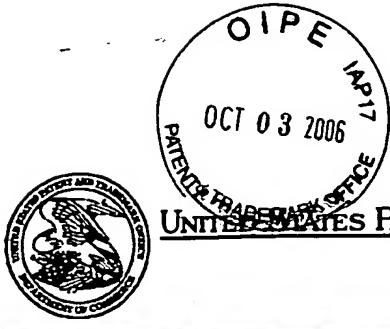
Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

ARNOLD H. KRUMHOLZ  
Reg. No. 25,428

AHK/lS  
Enclosures



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/569,316	02/22/2006	1614	1360	REGIM33083	2	21	2

CONFIRMATION NO. 7860

000530  
LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK  
600 SOUTH AVENUE WEST  
WESTFIELD, NJ 07090

## FILING RECEIPT



\*OC000000020480050\*

Date Mailed: 09/20/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jean-Sebastien Garrigue, Verrieres Le Buisson, FRANCE;  
Gregory Lambert, Verrieres Le Buisson, FRANCE;  
Alain Razafindratsita, Chevilly Larue, FRANCE;  
Simon Benita, Mevasseret Zion, FRANCE;  
Shicheng Yang, Etats, IN;  
Neslihan Gursoy, Jerusalem, ISRAEL;

## Assignment For Published Patent Application

Novagali Pharma SA, Evry, FRANCE

Power of Attorney: The patent practitioners associated with Customer Number 000530.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/IB04/03077 08/27/2004  
which claims benefit of 60/498,663 08/29/2003

## Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 03292141.3 08/29/2003

If Required, Foreign Filing License Granted: 09/18/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/569,316**

Projected Publication Date: 12/28/2006

LDLK &amp;M

SEP 25 2006

RECEIVED

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Self-nanoemulsifying oily formulation for the administration of poorly water-soluble drugs

**Preliminary Class**

514

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

### **LICENSE FOR FOREIGN FILING UNDER**

**Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



### Application Data Sheet

#### **Application Information**

Application Type::	Regular
Subject Matter::	Utility
Suggested Group Art Unit::	N/A
CD-ROM or CD-R?::	None
Sequence submission?::	None
Computer Readable Form (CRF)?::	No
Title::	<b>SELF-NANOEMULSIFYING OILY FORMULATION FOR THE ADMINISTRATION OF POORLY WATER- SOLUBLE DRUGS</b> <b>REGIM 3.3-083</b>
Attorney Docket Number::	
Request for Early Publication?::	No
Request for Non-Publication?::	No
Suggested Drawing Figure::	1
Total Drawing Sheets::	2
Small Entity?::	No
Petition included?::	No
Secrecy Order in Parent Appl.?::	No

#### **Applicant Information**

Applicant Authority Type::	Inventor
Primary Citizenship Country::	France
Status::	Full Capacity
Given Name::	Jean-Sébastien
Family Name::	Garrigue
City of Residence::	Verrieres Le Buisson
Country of Residence::	France
Street of mailing address::	7, résidence du Clos de Verrières
City of mailing address::	Verrieres Le Buisson

Country of mailing address:: France  
Postal or Zip Code of mailing address:: F-91370

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: France  
Status:: Full Capacity  
Given Name:: Grégory  
Family Name:: Lambert  
City of Residence:: Chatenay Malabry  
Country of Residence:: France  
Street of mailing address:: Les Murs Blancs 19, rue Henri Marrou  
City of mailing address:: Chatenay Malabry  
Country of mailing address:: France  
Postal or Zip Code of mailing address:: F-92200

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Madagascar  
Status:: Full Capacity  
Given Name:: Alain  
Family Name:: Razafindratsita  
City of Residence:: Chevilly La Rue  
Country of Residence:: France  
Street of mailing address:: 166, rue Petit Leroy  
City of mailing address:: Chevilly La Rue  
Country of mailing address:: France  
Postal or Zip Code of mailing address:: F-94550

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Israel  
Status:: Full Capacity  
Given Name:: Simon  
Family Name:: Benita

**City of Residence::** Mevasseret Sion  
**Country of Residence::** Israel  
**Street of mailing address::** 33/3 Haarazim Street  
**City of mailing address::** Mevasseret Sion  
**Country of mailing address::** Israel  
**Postal or Zip Code of mailing address::** 90805

#### **Correspondence Information**

**Correspondence Customer Number::** 000530

#### **Representative Information**

**Representative Customer Number::** 000530

#### **Domestic Priority Information**

<b>Application::</b>	<b>Continuity Type::</b>	<b>Parent Application::</b>	<b>Parent Filing Date::</b>
This Application	National Stage of	PCT/IB2004/003077	08/27/04
PCT/IB2004/003077	An application claiming the benefit under 35 USC 119(e)	60/498,663	08/29/03

#### **Foreign Priority Information**

<b>Country::</b>	<b>Application number::</b>	<b>Filing Date::</b>	<b>Priority Claimed::</b>
European Patent Office	03292141.3	08/29/03	Yes

#### **Assignee Information**

**Assignee name::** Novagali Pharma SA  
**Street of mailing address::** 1, rue Pierre Fontaine  
**City of mailing address::** Evry  
**Country of mailing address::** France  
**Postal or Zip Code of mailing address::** F-91000

Assignee name:: Yissum Research Development Company  
Street of mailing address:: Of The Hebrew University Of Jerusalem  
Edmond J. Safra Campus  
City of mailing address:: Hi Tech Park  
Country of mailing address:: Jerusalem  
Postal or Zip Code of mailing address:: Israel  
91390